

JAMES
SHARP
& Co. EST.
1885

NOMINEE SERVICE

Your investments
at your fingertips

WWW.JAMESHARP.CO.UK



MANAGING YOUR
INVESTMENTS
WHEN YOU WANT
TO QUICKLY,
EFFICIENTLY AND
WITH PEACE OF
MIND

PROVIDING SAFE, SECURE AND 24-HOUR ACCESS TO YOUR INVESTMENTS

Our Nominee Service is a simple and effective way to oversee the practical day-to-day administration of your investments.

Combined with our 24-hour online valuation service, it offers a convenient way to manage your investment portfolio to ensure swift settlement of dealing transactions.

With peace of mind that your investments are in the safe custody of financial professionals, our fee-based service removes the worry over lost or damaged share certificates and provides a range of benefits that keeps you firmly in control.

WORKING IN PARTNERSHIP WITH OUR CLIENTS

James Sharp & Co has been providing stock broking services since 1885 and clients have always been at the heart of our business.

With major advances in technology during recent years, a lot of what we do today is managed electronically using secure paperless transactions.

Our Nominee Service is a fee based service which combines the latest technology while ensuring clients are fully in control of their portfolio and up-to-date with the companies they have invested in.

To provide these benefits, James Sharp holds shares on behalf of investors in a nominee company. This means that although you don't hold shares directly with the companies you have chosen to invest in, you can continue to access all the relevant company information and opportunities.

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THE BENEFITS OF OUR NOMINEE SERVICE

- Shorter settlement periods and swifter transactions
- Access to up-to-date online valuations
- Shares held electronically – no worrying about lost or damaged certificates and the cost of replacement
- Postal and courier charges reduced
- Personal administration reduced
- Peace of mind that investments are in safe custody
- Portfolio records updated to reflect changes to your holdings
- A record of all your investment holdings provided annually
- Tax year end consolidated statements provided
- Support on corporate actions such as rights issues and take-overs
- Process dividends and remit them direct to your bank account monthly/quarterly as required

DELIVERING TRANSPARENCY AND VALUE FOR MONEY

Our nominee service gives investors access to their own client area online at www.jamessharp.co.uk. Transactions and valuations are maintained and updated daily.

A bank account is set up in conjunction with your dealing account. Dividends and any interest payable is accrued on the account and issued monthly, quarterly or accumulated for re-investment depending on your preference.

Relax in the peace of mind that an annual statement of your investment holdings will ensure you are fully up to date.

FREQUENTLY ASKED QUESTIONS

What is a nominee company?

A nominee company is a limited company that holds shares on behalf of investors. James Sharp's nominee company is Rulegale Nominees Limited which is a wholly-owned non-trading company controlled by James Sharp & Co. It enables us to provide a range of benefits to investors for the practical, day-to-day administration of investments.

Does my name still appear on the share register of the companies I have shares in?

No, the shares are registered to our nominee company. This means you will not receive direct communication from the companies you have invested in. James Sharp will be responsible for contacting you when necessary in respect of corporate actions such as rights issues and company take-overs including opportunities to vote. As your name does not appear on the share register, it also means that you are less likely to be contacted by hoax callers.

How are my dividends paid?

Dividends are paid monthly, quarterly or can be held pending future reinvestment.

What happens when I buy and sell shares?

You will still receive a contract note as before but there is no need to sign a transfer form for a sale and you won't receive a certificate against a purchase. Proceeds of the sale can be requested from your client account manager at any time.

How do I know what investments I hold?

We keep a record of all client holdings and provide clients with an annual statement.

What work is involved with operating a nominee service? Why do I have to pay for it?

Our Nominee Service is a professional and dedicated service to oversee the administration, processing and regulatory compliance of investment transactions. Continuing investment in skills and technology means that it can no longer be offered as a free service. A full breakdown of the benefits of our Nominee Service are listed opposite.

Can I opt out of the Nominee Service?

Yes, clients are free to manage the administration of their investments however online valuations will not be available through James Sharp. See our rate card for further information.

GET IN TOUCH

To find out more about our Nominee Service call James Sharp & Co on 0161 764 4043 or email mail@jamessharp.co.uk

About James Sharp & Co

James Sharp & Co is a stockbroking Partnership and member of the London Stock Exchange.

Based in Bury, Lancashire, our team of expert brokers, advisers and analysts focus on developing investment strategies to deliver long term capital and income growth.

We provide a range of services to meet the individual needs of clients from transaction-only services to advisory expertise as well as supporting clients with ISAs, pensions and estate planning.

James Sharp & Co.

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Authorised and regulated
by the Financial Conduct
Authority

Member of the
London Stock Exchange

Member of ISDX

Member of The Wealth
Management Association